



# Non-Inferiority of an Ensemble AI Model Versus Physician-Guided ESA Dosing in Hemodialysis

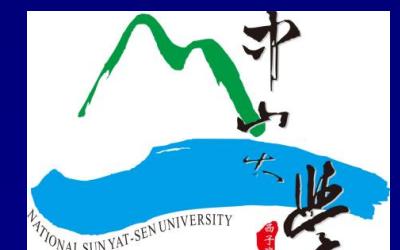
Retrospective Development and  
Randomized Controlled Trial

**Ping-Hsun Wu, Associate Professor**

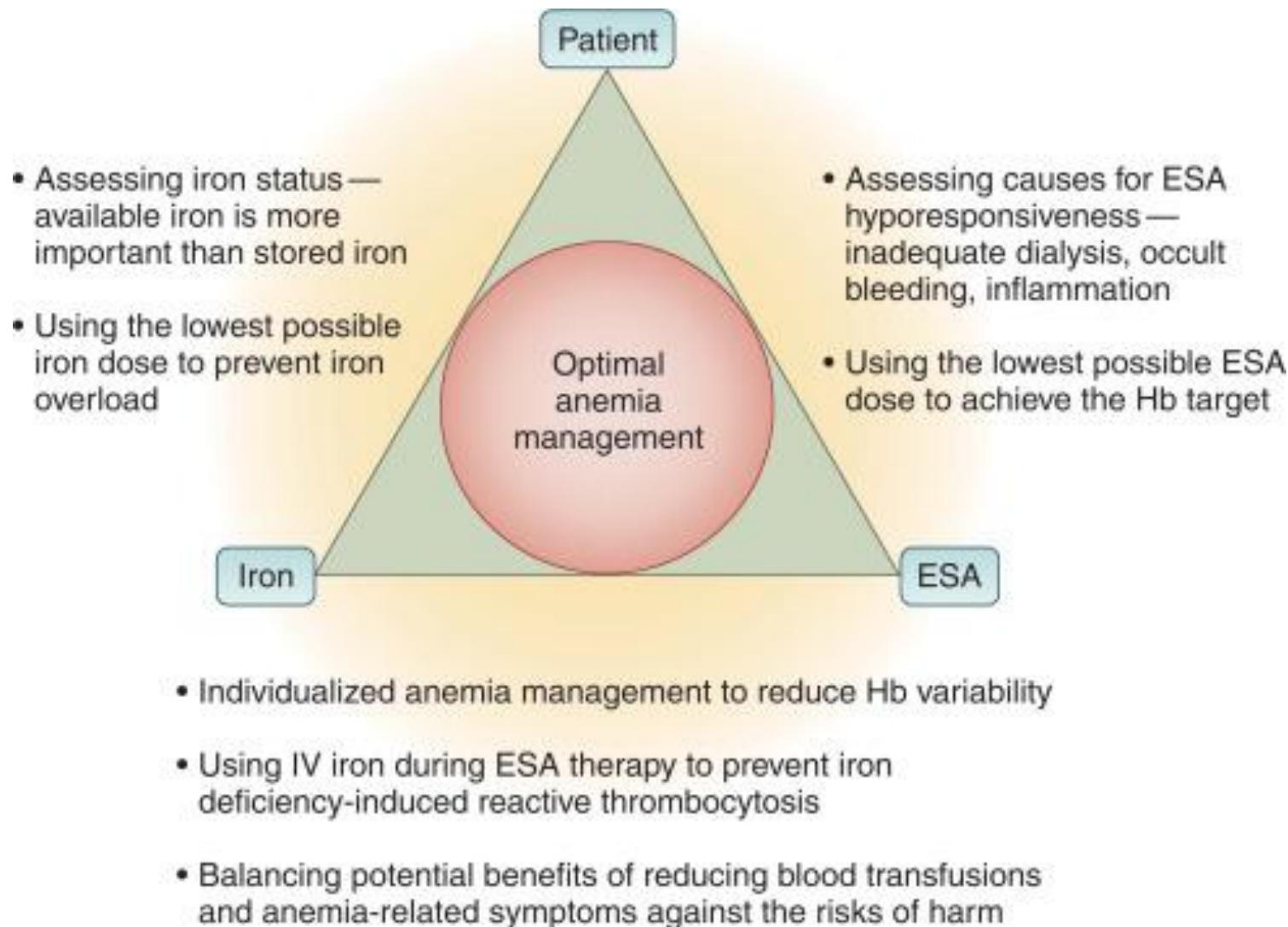
**Yi-Wen Chiu, Chan Hsu, Min-Yen Lin, and Yihuang, Kang**

**Division of Nephrology, Department of Internal Medicine  
Kaohsiung Medical University Hospital**

2025.12.05



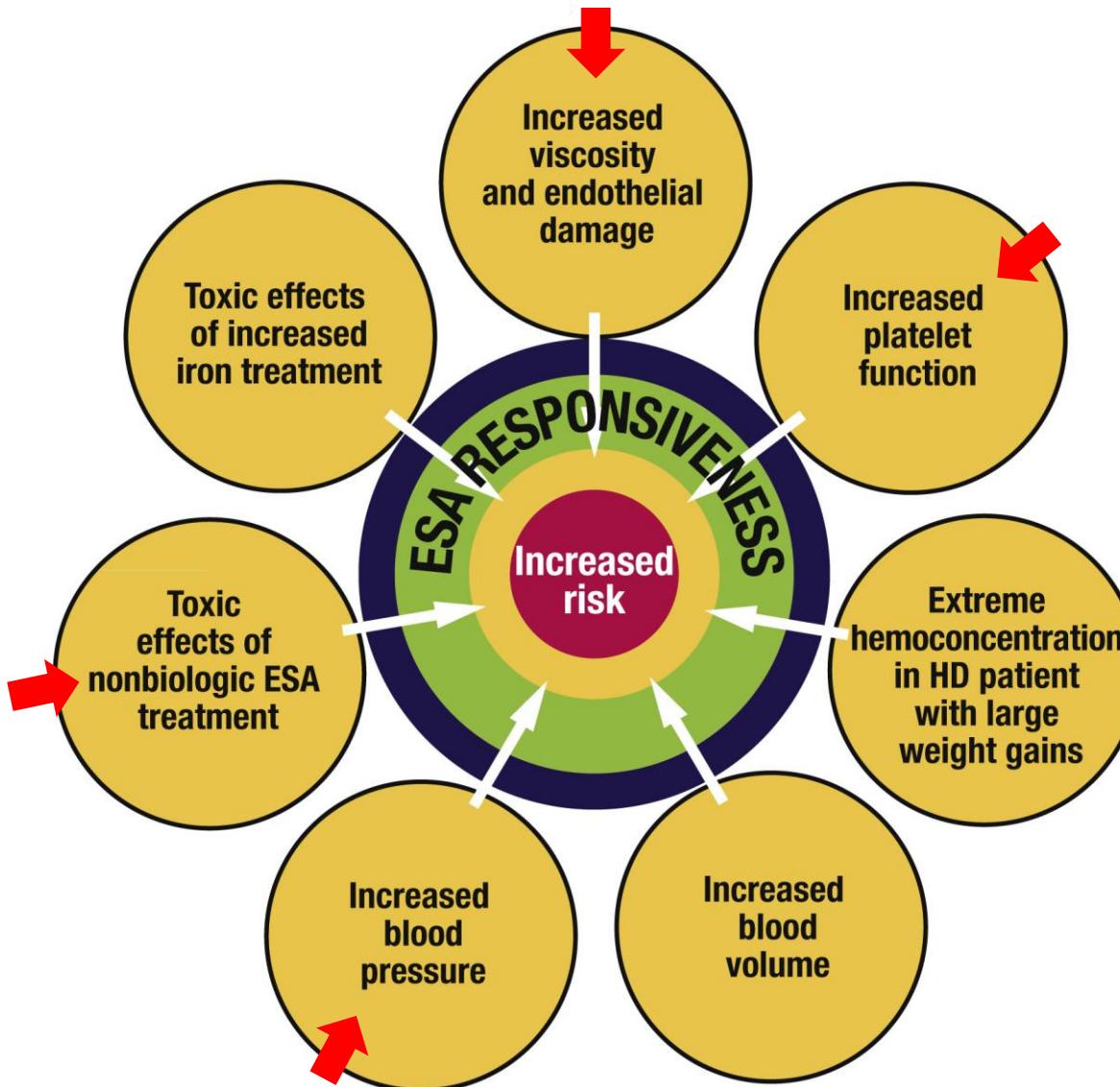
# Interaction of patient, erythropoiesis-stimulating agent (ESA), and iron in the management of anemia in chronic kidney disease

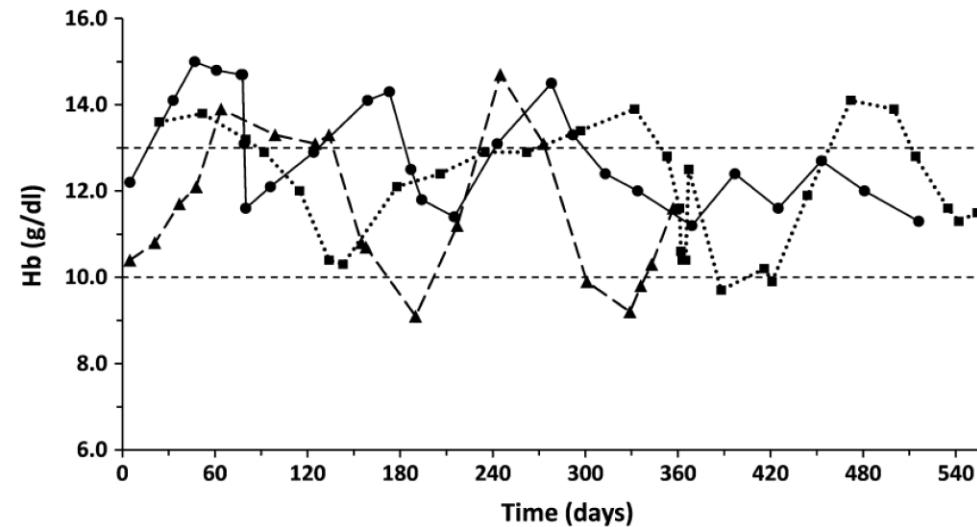


# KDIGO guideline 2025

- The target Hb range is **10–11.5 g/dL**
- **Higher targets** are associated with **increased risk of stroke, hypertension, and cardiovascular events**
  - **Epoetin alfa or beta**: 20–50 IU/kg three times per week, administered intravenously (IV) or subcutaneously (SC). **Eprex, Recormon**
  - **Darbepoetin alfa**: 0.45 µg/kg once weekly (IV or SC), or 0.75 µg/kg once every two weeks (SC). **Aranesp**
  - Continuous erythropoietin receptor activator (**CERA**, e.g., methoxy polyethylene glycol-epoetin beta): 0.6 µg/kg every two weeks (IV or SC). **Mircera**

# Potential mechanism of increased cardiovascular risk with higher hemoglobin targets in ESA studies

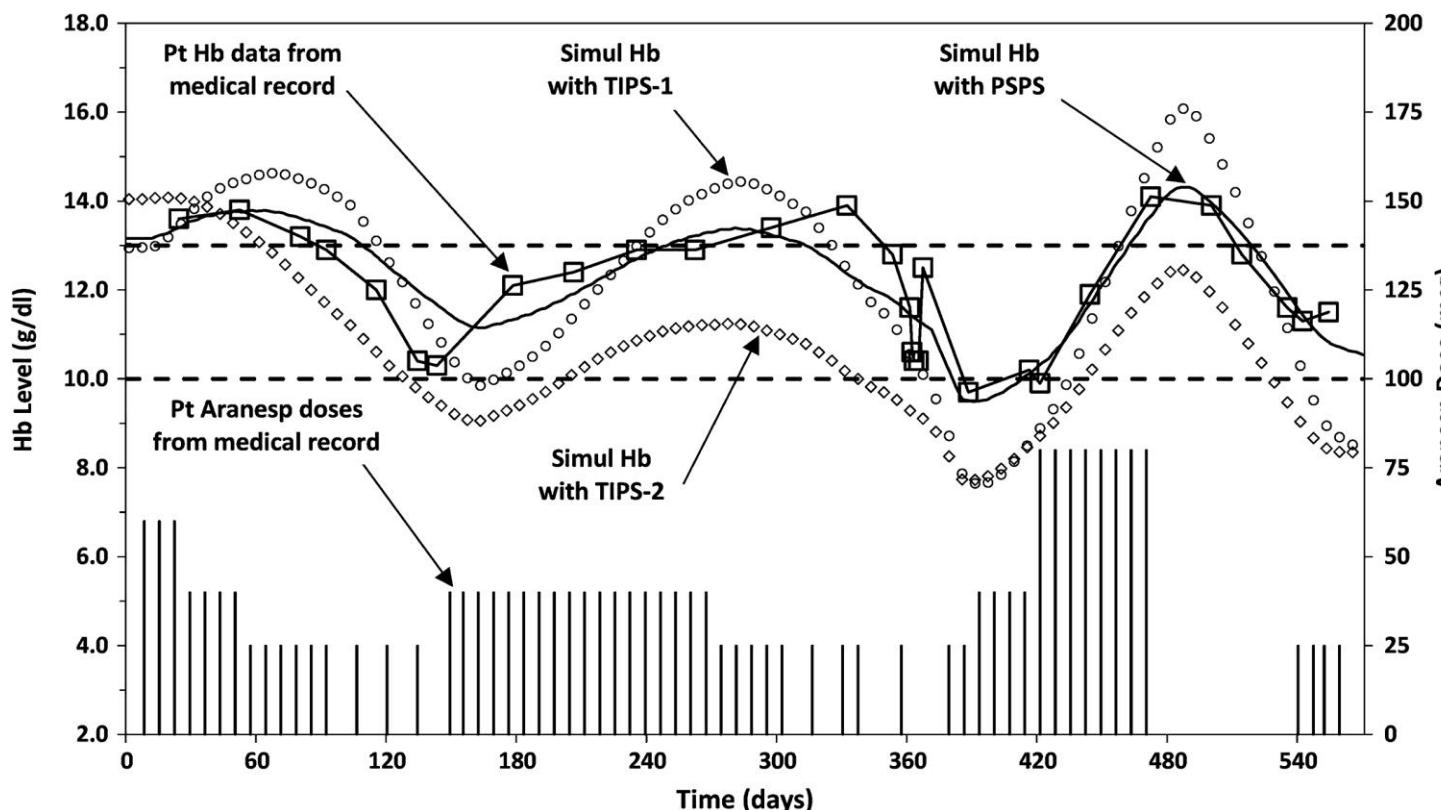




Titration of ESA Dosage: Given IV once weekly (maximum dose 300 mcg/week)

Hb < 10 g/dl	Increase by 3 vials
Hb 10.1–10.5 g/dl	Increase by 2 vials
Hb 10.6–11.4 g/dl	Increase by 1 vial
Hb 11.5–12.5 g/dl	No change in dose
Hb 12.6–13.9 g/dl	Decrease by 1 vial
Hb $\geq$ 14 g/dl	HOLD 2 weeks; then decrease by 2 vials

Available vial sizes (mcg) 25, 40, 60, 100, 150, 200, 300.

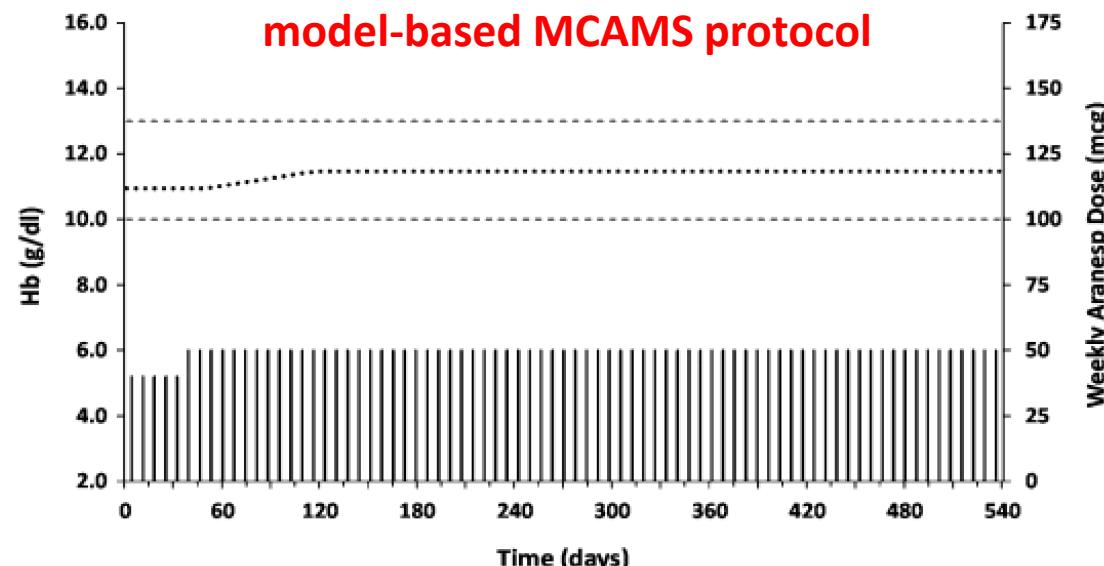
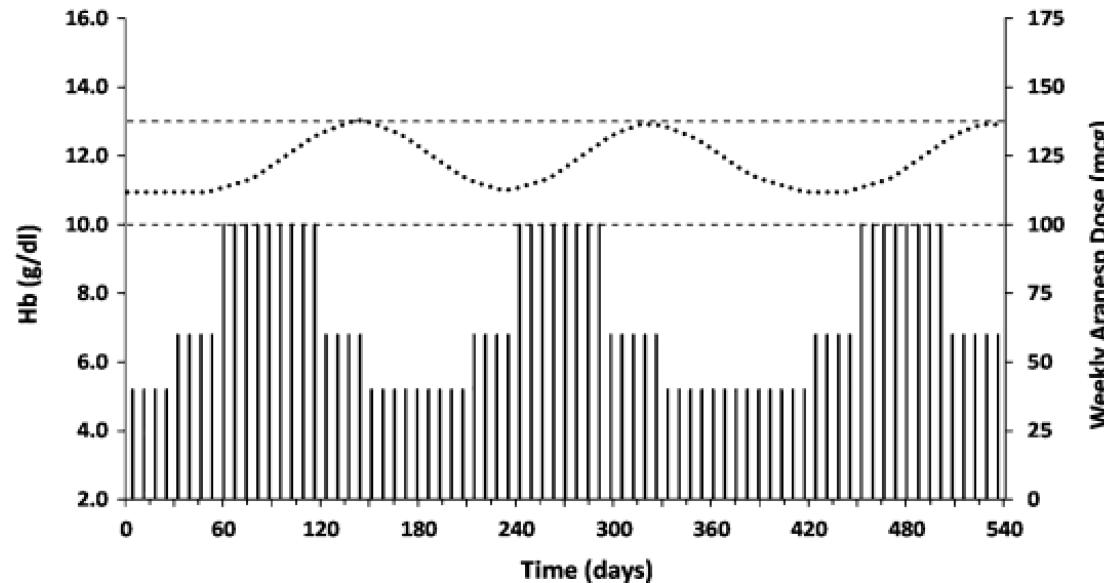


Representative Monte Carlo  
**simulations** with tentative  
**individual parameter sets (TIPS)**  
 to identify the **best-fit (MSE)**  
**patient-specific parameter set**  
**(PSPS).**



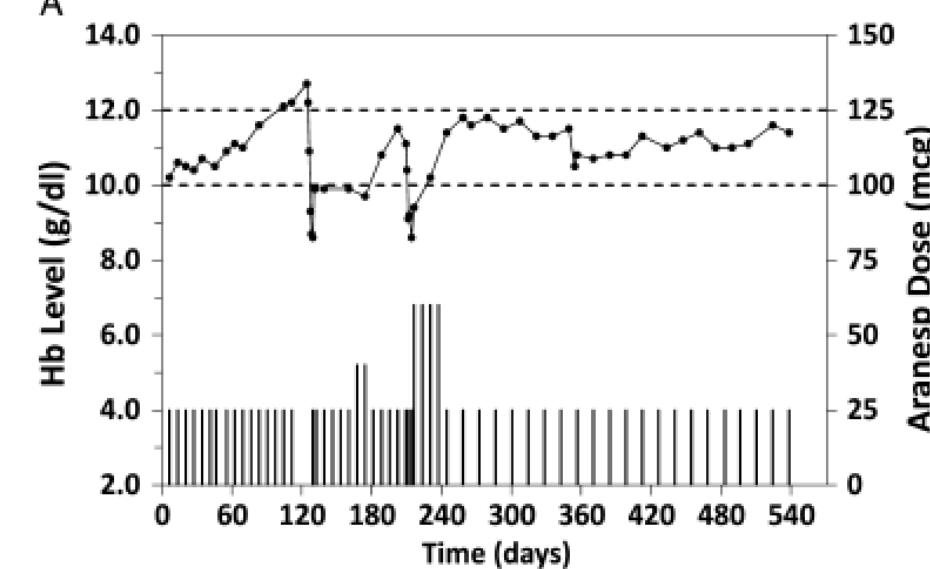
# The Mayo Clinic Anemia Management System (MCAMS)

## Standard ESA protocol

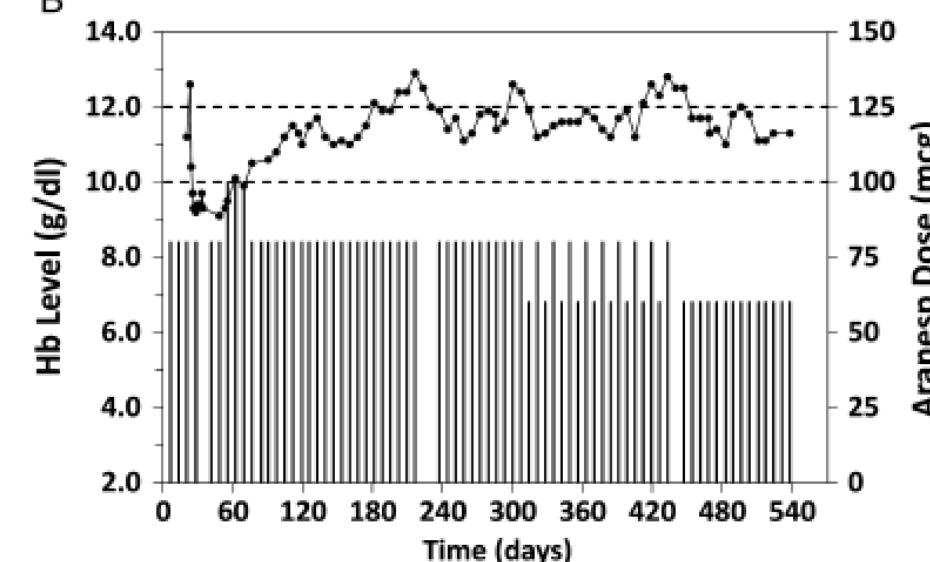


Therapeutic outcomes: clinical Hb results in ESRD patients following **model-based MCAMS decision rules** to identify and adjust ESA dosage regimens

A

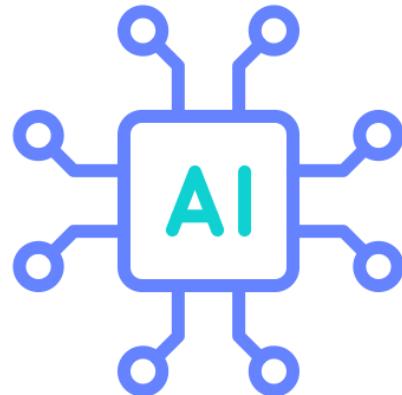


B



# Research questions

The challenge of optimizing ESA dosing in HD patients



v.s.



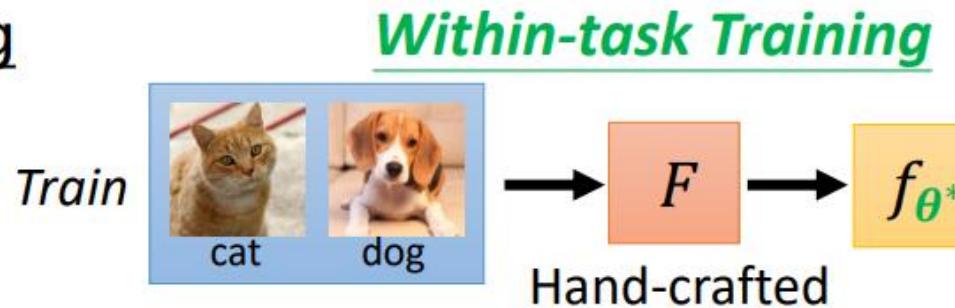
**AI-guided group  
(Meta-learning model)**

Meta-learning models are “**learning-to-learn**” systems  
that are trained across many related tasks

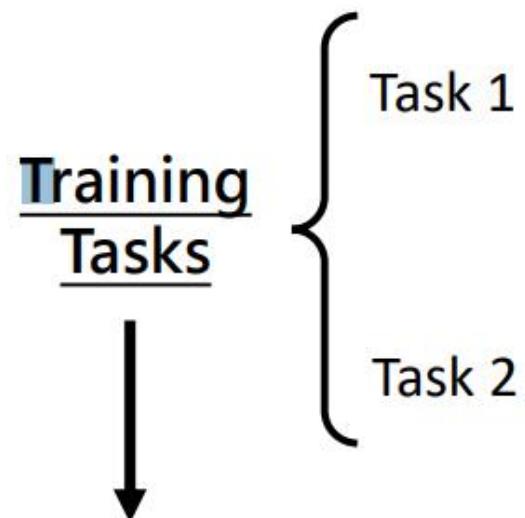
**Physician-guided group**

# What is meta-learning model?

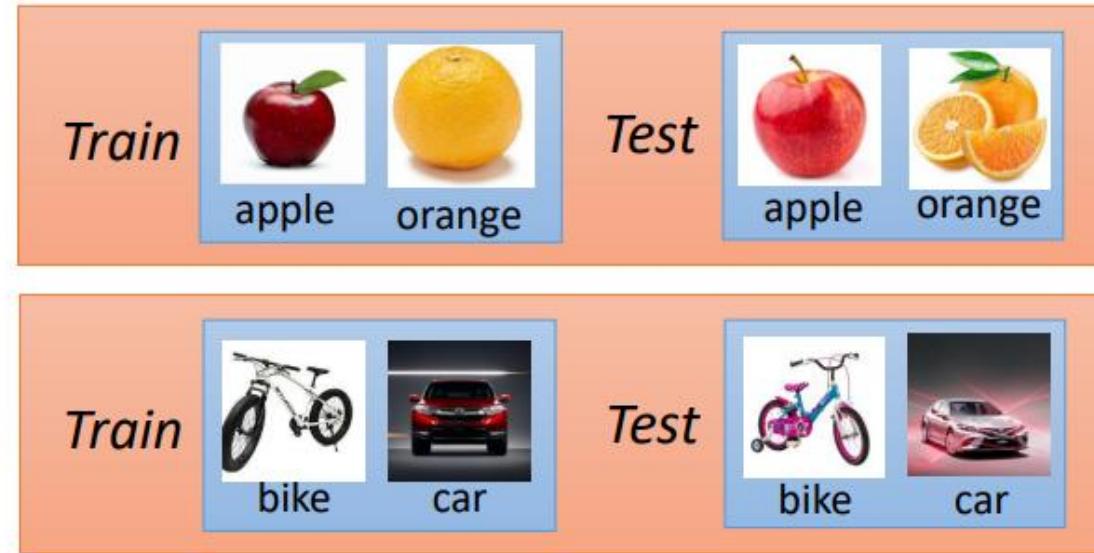
## Machine Learning



## Meta Learning

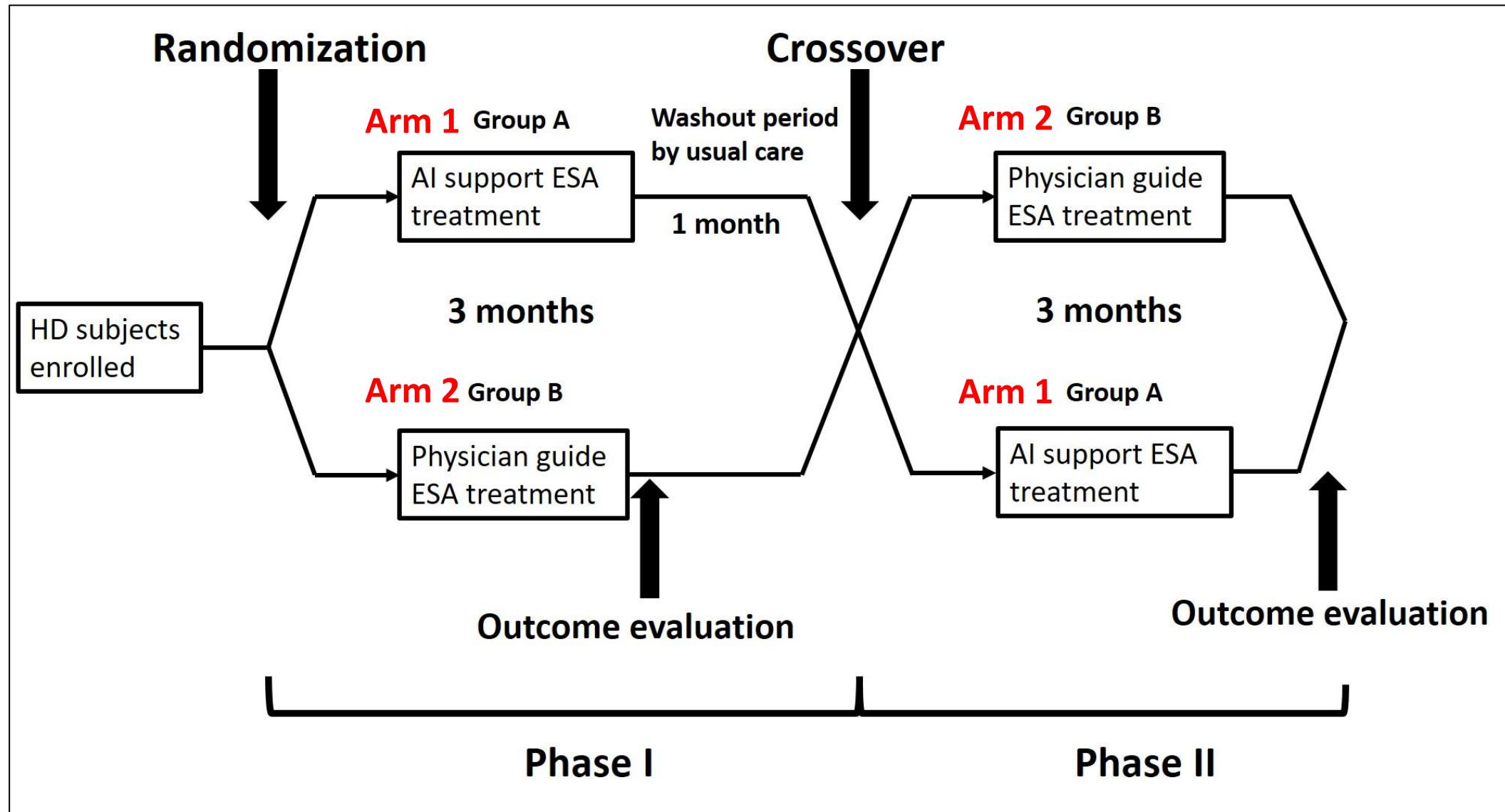


$F_{\phi^*}$  Learning  
Algorithm



## Across-task Training

# Double-blind, crossover RCT (NCT05032651)



# Primary outcome and Secondary outcome

- Primary outcome: maintain **Hb near 11 g/dL within  $\pm 0.25$  g/dL**
- Secondary outcome: maintain **Hb between 10 - 12 g/dL within  $\pm 15$  %**

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Research and Applications

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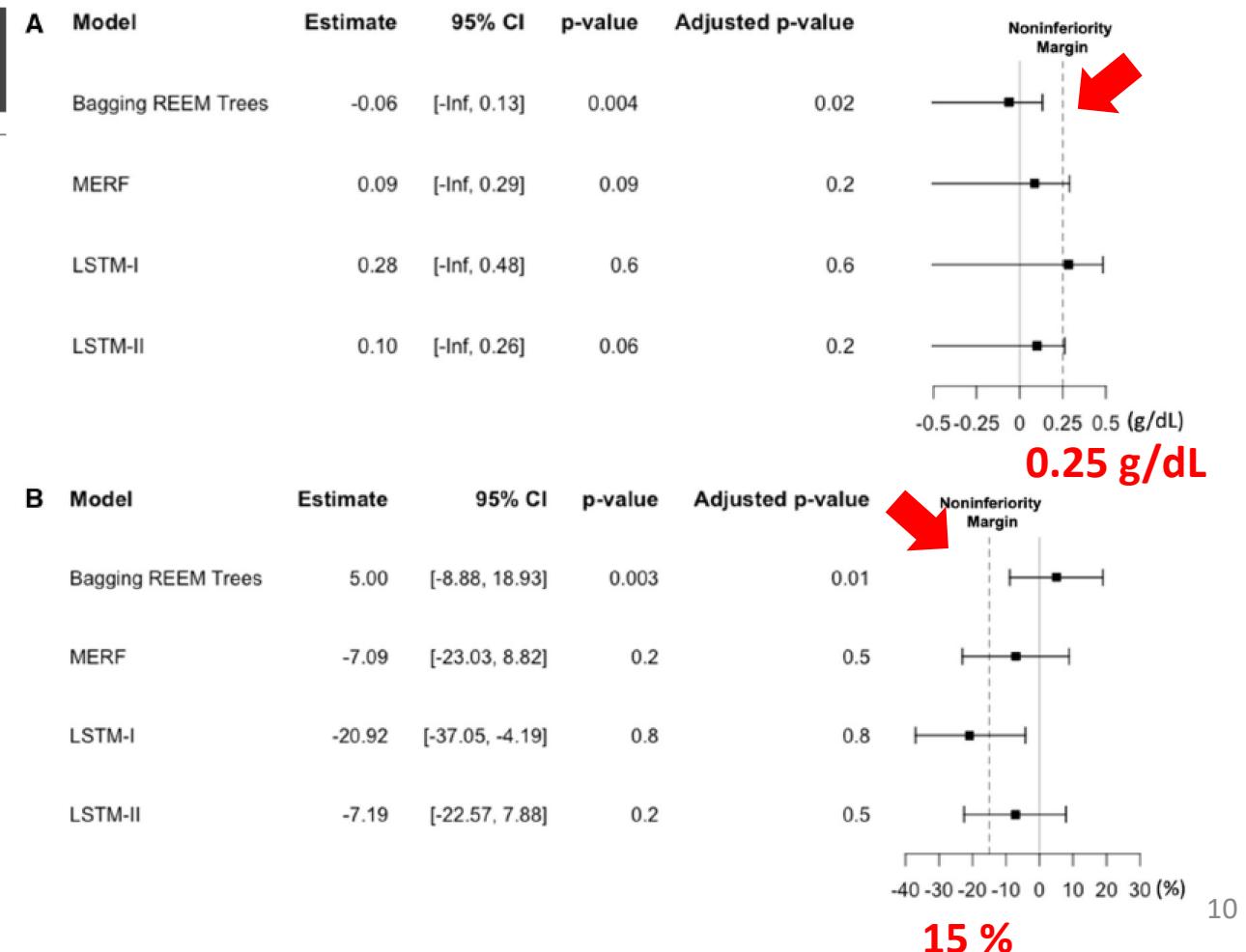


Research and Applications

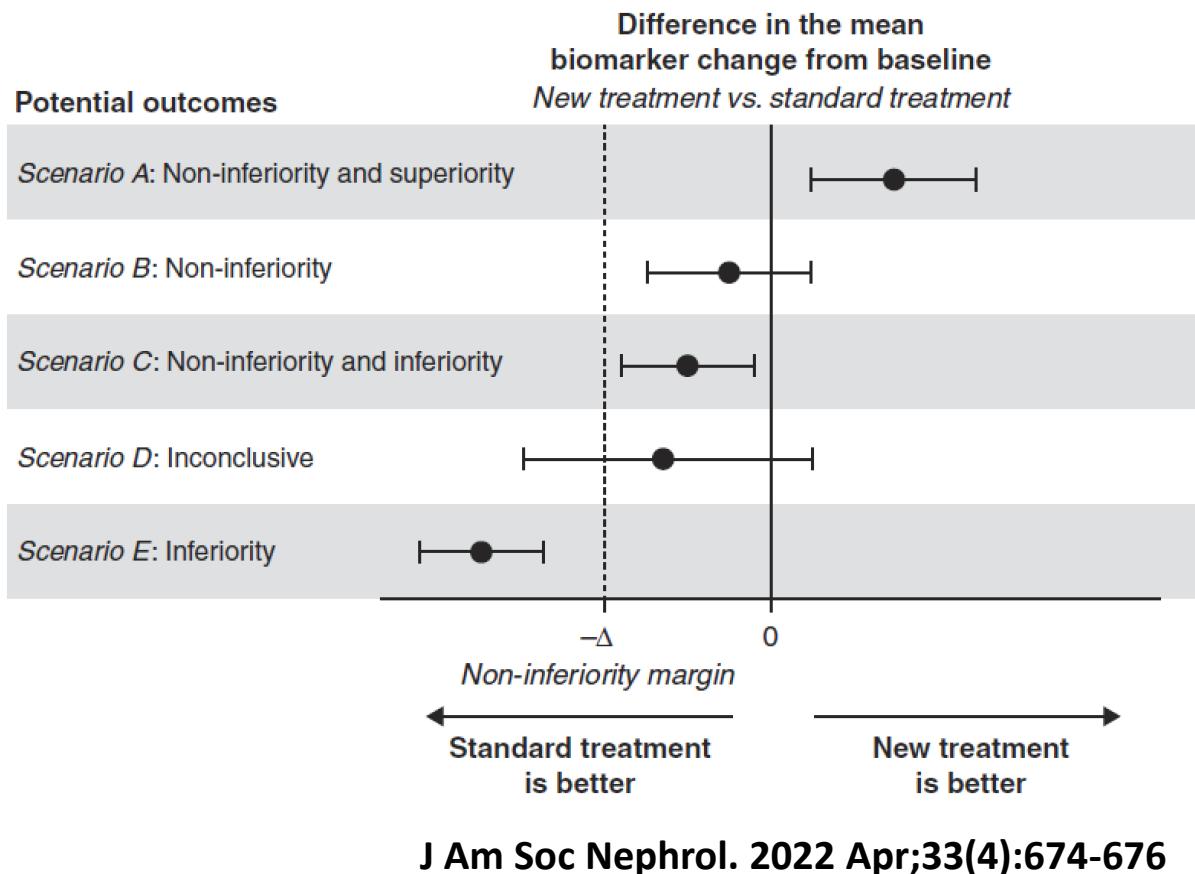
Computer-assisted prescription of erythropoiesis-stimulating agents in patients undergoing maintenance hemodialysis: a randomized control trial for artificial intelligence model selection

Lee-Moay Lim, MD<sup>1,2</sup>, Ming-Yen Lin , PhD<sup>1</sup>, Chan Hsu , MS<sup>3</sup>, Chantung Ku, MS<sup>3</sup>, Yi-Pei Chen, MS<sup>1</sup>, Yihuang Kang , PhD<sup>3,\*</sup>, Yi-Wen Chiu , MD<sup>1,2,4,\*</sup>

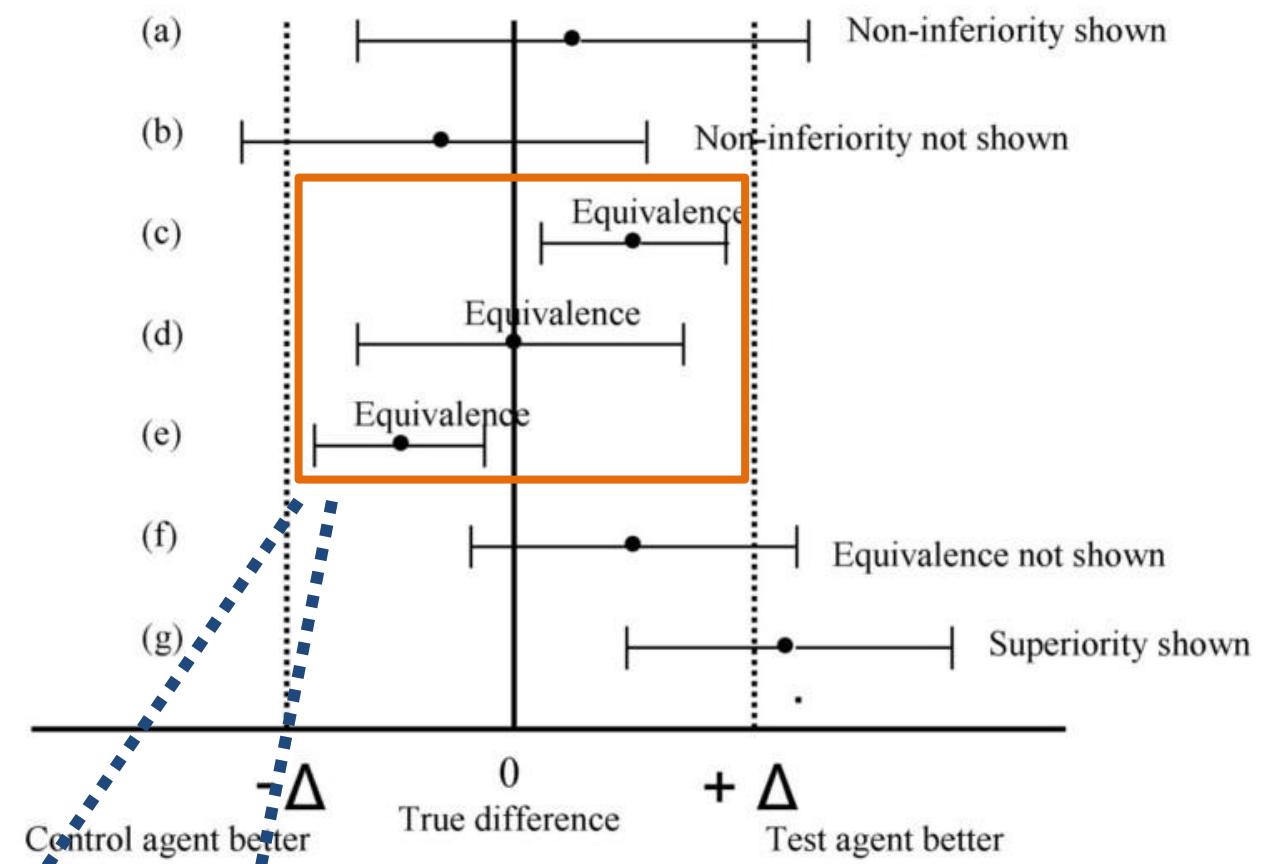
**Equivalence testing:** two one-sided test (TOST) within a **linear mixed-effects crossover model** including treatment, period, and sequence as fixed effects and participant as a random effect.



# The concept of **Equivalence** trial



If the 95% CI of the mean difference in the achievement rate between "AI group – physician group" falls entirely **within –15% to +15%**, the two are considered **equivalent** in terms of "maintaining Hb within 10–12 g/dL."



If the 95% CI of the mean difference in this deviation value between "AI group – physician group" falls entirely **within –0.25 to +0.25 g/dL**, the two can be considered **"equivalent"** in terms of precision in Hb control.

# Baseline Characteristics of Study Subjects

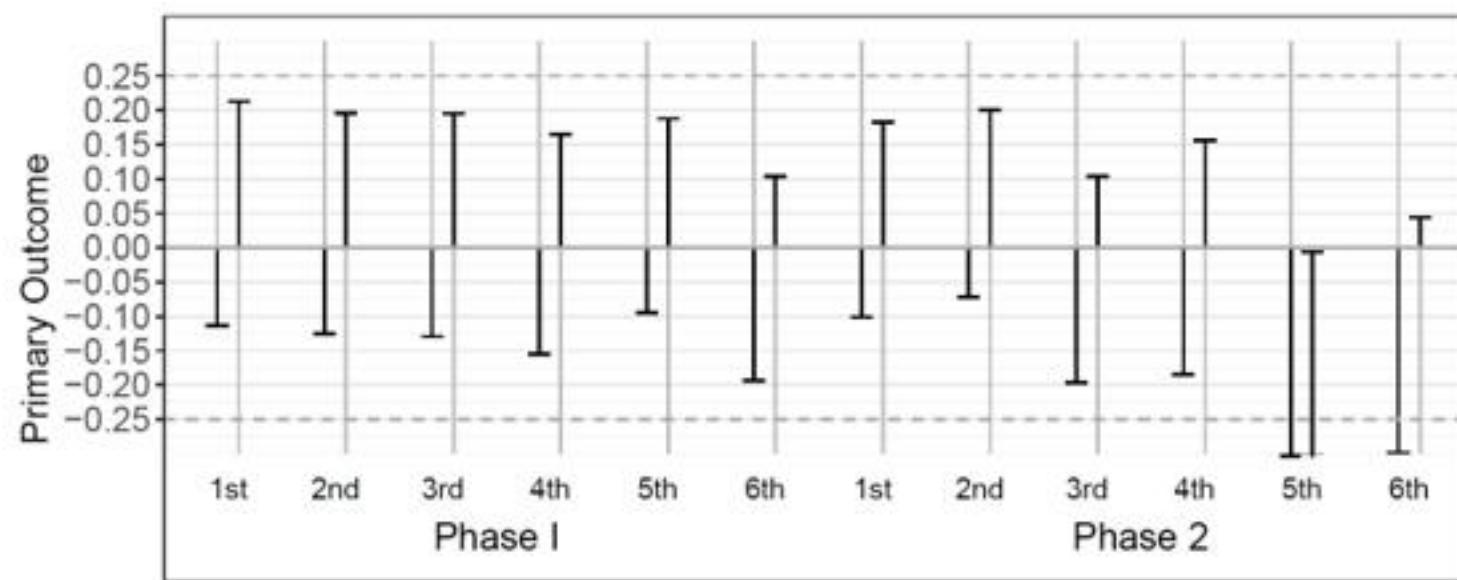
- Mean age: **64.2  $\pm$  12.5 years**
- **Female proportion: 45.8%**
- **Diabetes: 44.5%**
- **Baseline Hb: 10.8  $\pm$  0.7 g/dL**
- **Proportion with baseline Hb within 10–12 g/dL: 80.4%**
- **Completion Status at Each Phase:**
  - Arm 1 (enrolled 77 patients):
    - Phase I completers: 70 patients
    - Phase II completers: 63 patients
  - Arm 2 (enrolled 78 patients):
    - Phase I completers: 75 patients
    - Phase II completers: 71 patients
- **No significant difference in dropout rates** between groups ( $p = 0.11$ )
- **Serious adverse events (SAEs)** were **similar** between groups ( $p=0.63$ )

**155 HD patients**

# Baseline Participant Characteristics

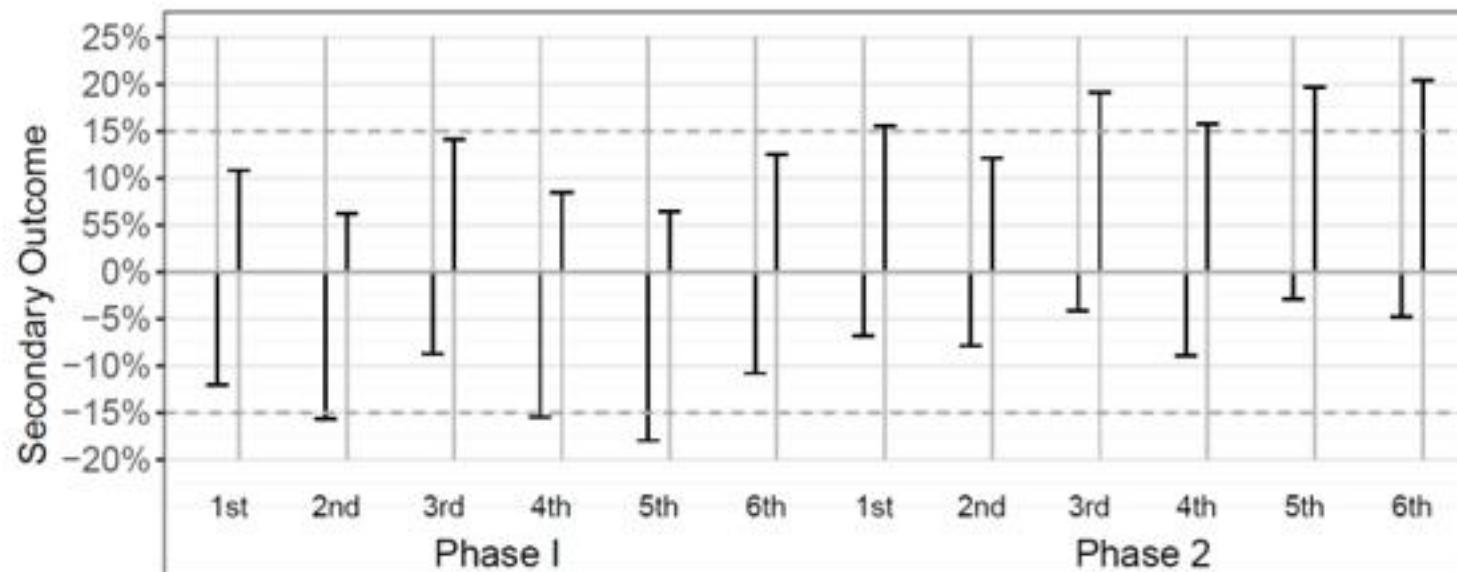
	Arm 1 (N=77)	Arm 2 (N=78)	P-value
<b>Age</b>	66.2 (12.3)	62.2 (13)	0.054
<b>Gender, female, %</b>	40, 51.9%	31, 39.7%	0.148
<b>DM, n, %</b>	34, 44.2%	35, 44.9%	1
<b>Hb, g/dL</b>	<b>10.8 (0.7)</b>	<b>10.8 (0.7)</b>	0.572
<b>Ferritin, ng/mL</b>	452.9 (292.7)	504.3 (283.9)	0.269
<b>Exclusion, n, %</b>	14, 18.2	7, 9.0	0.218
Death	2, 2.6	0, 0	
Cancer	0, 0	2, 2.6	
GI Bleeding	2, 2.6	0, 0	
Transfer	2, 2.6	0, 0	
Transfusion	8, 10.4	5, 6.4	

Figure 1 Primary outcome across all assessments



**Figure 1. Mean differences in absolute hemoglobin deviation from the 11 g/dL target (AI – Physician) across 12 assessments.** Error bars represent 95% confidence intervals from the linear mixed-effects model. The dashed lines denote the predefined equivalence bounds ( $\pm 0.25$  g/dL). At the 5th and 6th assessments in Phase 2, the lower bounds slightly exceeded – 0.25 g/dL, suggesting transient AI superiority, but the overall model confirmed statistical equivalence between groups.

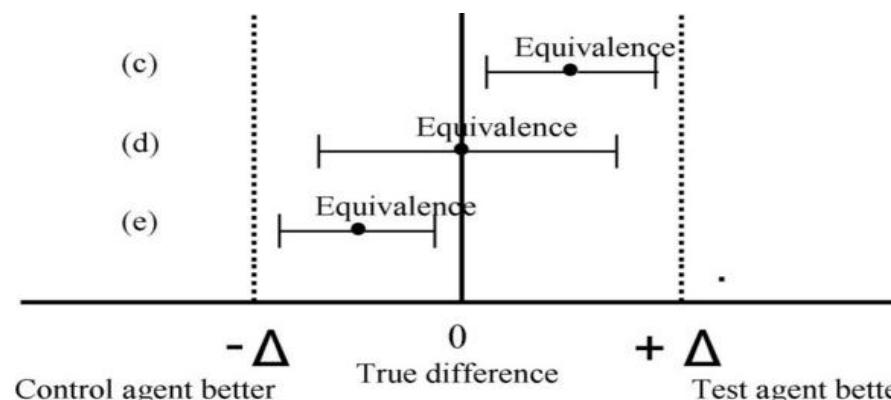
Figure 2 Secondary outcome across all assessments



**Figure 2. Mean differences in maintaining Hb within 10–12 g/dL (AI – Physician) across 12 assessments.** Error bars show 95% CIs; dashed lines mark  $\pm 15\%$  equivalence bounds. In Phase 2, 3rd–6th assessments exceeded the upper bound, suggesting transient AI overperformance, but overall analysis confirmed equivalence.

# Primary and secondary outcomes

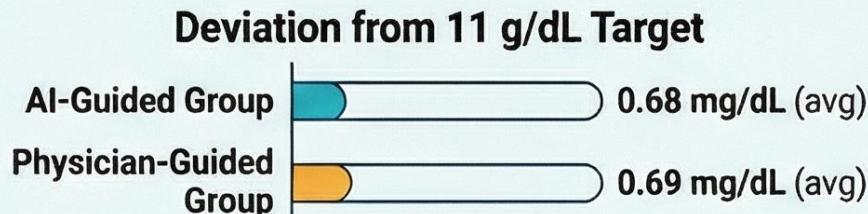
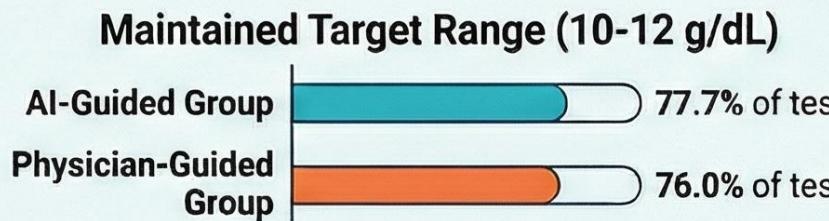
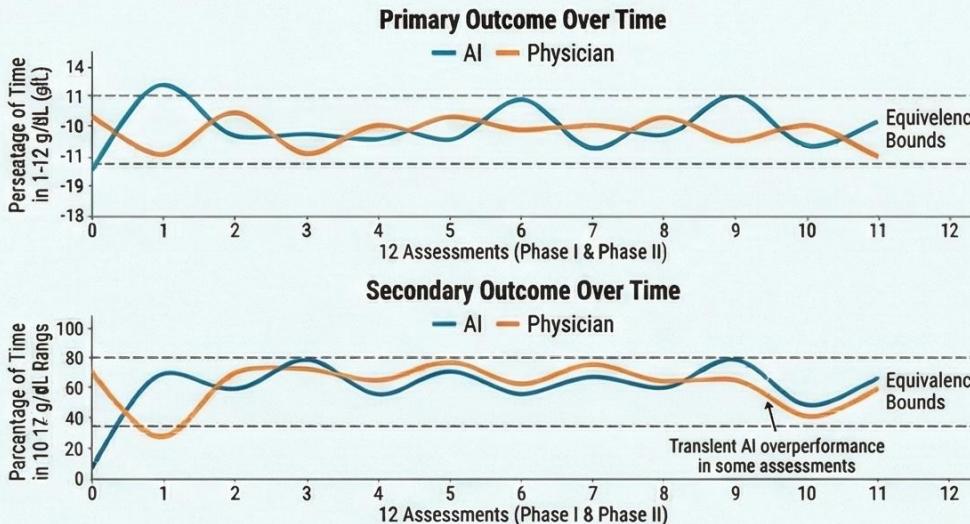
	AI Group	Dr Group	Two One-sided test (upper bound) 95% CI	Two One-sided test (lower bound) 95% CI
<b>Overall</b>	N=862 tests	N=853 tests		
Primary Outcome, mg/dL	<b>0.68±0.57</b>	<b>0.69±0.55</b>	~ to 0.03 (p<0.001)	-0.05 to ~ (p<0.001)
Secondary Outcome, %	<b>77.7%</b>	<b>76.0%</b>	~ to 0.05 (p<0.001)	-0.01 to ~ (p<0.001)
<b>Phase I</b>	N=436 tests	N=465 tests		
Primary Outcome, mg/dL	<b>0.72±0.60</b>	<b>0.70±0.56</b>	~ to 0.08 (p<0.001)	-0.04 to ~ (p<0.001)
Secondary Outcome, %	<b>74.1%</b>	<b>75.9%</b>	~ to 0.03 (p<0.001)	-0.07 to ~ (p<0.001)
<b>Phase II</b>	N=426 tests	N=388 tests		
Primary Outcome, mg/dL	<b>0.65±0.54</b>	<b>0.69±0.54</b>	~ to 0.02 (p<0.001)	-0.10 to ~ (p<0.001)
Secondary Outcome, %	<b>81.5%</b>	<b>76%</b>	~ to 0.10 (p<0.001)	-0.01 to ~ (p<0.001)



# AI vs. Physician: A Tie in Anemia Management for Hemodialysis Patients



## The Verdict: AI Achieves Equivalence



**Measuring Success: The Primary Outcome**



Hb Level Target:  
~11 g/dL (s0.25 g/dL)

**A Broader Target: The Secondary Outcome**



Hb Level Clinical Range:  
10 to 12 g/dL

# Acknowledgement



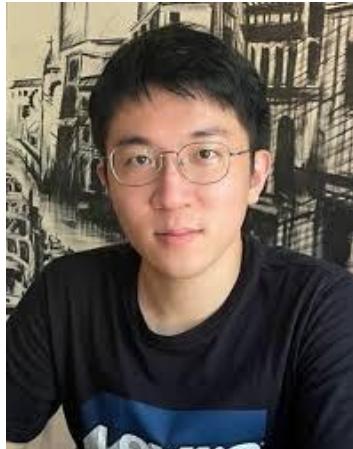
Yi-Wen Chiu, Prof  
KMUH



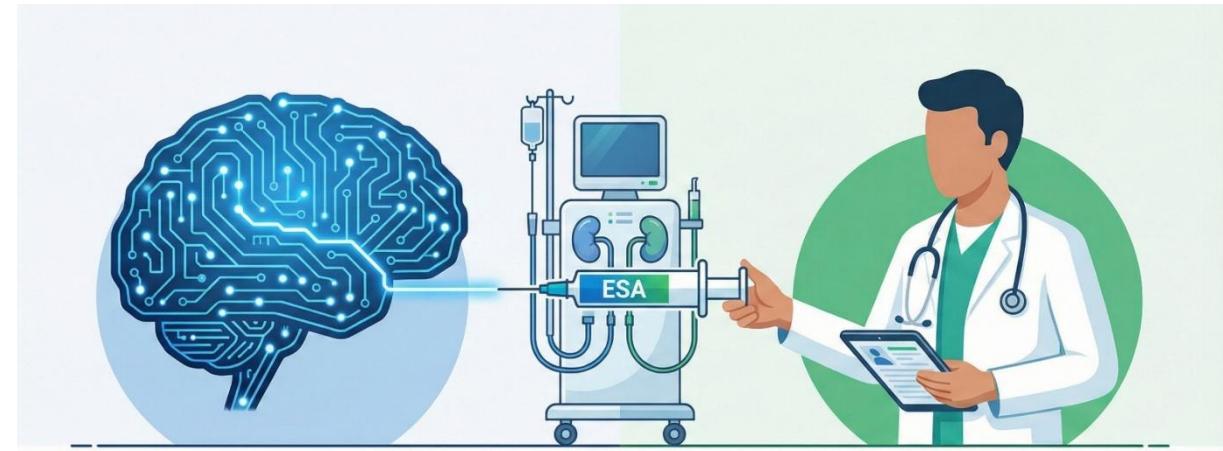
Ming-Yen Lin, Assoc. Researcher  
KMUH



Yihuang Kang, Assoc. Prof  
NSYSU



Chan Hsu, PhD Student  
NSYSU



Ping-Hsun Wu, M.D. PhD.  
E-mail: 970392KMUH@gmail.com

